PROGRESSIVE
BUILDING SOCIETY

STOCKS AND SHARES ISA TRANSFER FORM

If you transfer a Stocks and Shares ISA to Progressive and have built up previous tax year flexible allowances with your current provider, you will lose those allowances when you move to Progressive. If you wish to use the flexible allowance you should re-deposit into your existing Stocks and Shares ISA **before** you transfer to Progressive.

To transfer your existing Stocks and Shares ISA to Progressive Building Society, please:

- Complete this Stocks and Shares ISA Transfer Request Form.
- If you are opening a new Cash ISA to transfer funds into, you must also complete a Cash ISA Application Form.

1. PERSONAL DETAILS

Name

Full permanent residential address

Postcode

Date of birth ☐☐ ☐☐ ☐☐☐☐ National Insurance Number ☐☐☐☐☐☐☐☐☐

Daytime tel.no. Mobile no.

Are you transferring your Stocks and Shares ISA to your existing Progressive Cash ISA Yes ☐ No ☐

If **Yes** please provide your account number ☐☐☐☐☐☐☐☐☐☐

If you are opening a new Cash ISA to transfer funds into, you **must** also complete a Cash ISA Application form.

2. CURRENT STOCKS & SHARES ISA PROVIDER

Name of existing ISA provider

Address of existing ISA provider

Postcode

ISA account number/reference ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

3. TRANSFER INSTRUCTIONS

Please note

As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your Stocks and Shares ISA. For example:

- Some Stocks and Shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay before the transfer goes ahead.

If you are not sure what conditions apply, please check with your Stocks and Shares ISA provider before completing this form.

Question 1

Do you want to transfer all or part of your existing Stocks and Shares ISA? *Tick here* All ☐ Part ☐

If you answer **All** to **Question 1** this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your Progressive Cash ISA in its entirety.

Question 2

Have you subscribed to this Stocks and Shares ISA in the current tax year? *Tick here* Yes ☐ No ☐

- If you answered **All** to **Question 1** please go directly to the **Transfer Authority** section at the end of this form.
- If you answered **Part** to **Question 1**, please answer **Questions 3, 4 and 5** below.

Partial transfers

Question 3

If you answered **Yes** to **Question 2**, do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? *Tick here* Yes ☐ No ☐

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

Question 4

If you only want to transfer your subscriptions from the current tax year, *tick here* ☐

OR

If you want to transfer all of your subscriptions from previous tax years, *tick here* ☐

OR

If you want to transfer **some** of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you answered **Yes** to **Question 3**) *tick here* ☐ and provide sale instructions by answering **Question 5**

Question 5 - Stocks, Shares and/or Funds that you wish to sell

Please check your selection is permitted by your existing Stocks and Shares ISA provider prior to submitting the form and note you may be contacted by your existing ISA provider to agree what to sell.

Please use the box below to give instructions to your current Stocks and Shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with your current ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Progressive Building Society. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your current ISA provider.

Description of Investment	Investment Indicator e.g. ISIN, Sedol, Culp etc (if known)	Number or values of stocks, shares or units or specify 'ALL' to sell the entire holding

Question 5b – Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your Stocks and Shares ISA you want to transfer.

£

Or, if you want to transfer all of your uninvested cash balance, *tick here* ☐

4. TRANSFER AUTHORITY AND SIGNATURE

I authorise my existing ISA provider as specified on the front of this form to:

- sell investments and transfer the ISA in cash (account number on the front of this form) to Progressive Building Society;
- provide Progressive Building Society with any information, written or non-written, concerning the Stocks and Shares ISA; and
- accept any instruction from Progressive Building Society relating to the Stocks and Shares ISA being transferred.

Where I must give notice to close or transfer part of the existing Stocks and Shares ISA, or the existing Stocks and Shares ISA, contains an investment which is designed and intended to be held for the full term and has yet to reach redemption, I instruct my existing ISA provider to either (*tick appropriate box*):

- wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer; ☐
- ***Please note Progressive Building Society Cash ISA Bonds can be withdrawan without notice.***

or

- depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may be applied. ☐

Signature ☐ Date: ☐☐ ☐☐ ☐☐☐☐

5. TRANSFER ACCEPTANCE - to be completed by Progressive Building Society

We, Progressive Building Society, are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only.
- Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must not be more than the current ISA subscription limit.
- We must receive all the transfer proceeds no later than 60 days from the date on this form.

Please note we do not accept residual income payments.

For the purposes of the transfer of the ISA wrapper under the ISA regulations, the transfer date shall be the date we receive the funds.

Date: ☐☐ ☐☐ ☐☐☐☐ **PROGRESSIVE BUILDING SOCIETY**

DATA PROTECTION

Progressive Building Society is committed to keeping your information secure and private. Both physical and electronic measures have been put in place to keep your data safe. We collect and store information from you to help manage your accounts and to provide a service to you.

The Society will rely on the following legal basis for processing your personal data. The processing is necessary for:

- the performance of a contract with you or to take steps to enter into a contract;
- compliance with a legal obligation;
- the purposes of legitimate interests pursued by us or a third party.

An information leaflet is available from Progressive Building Society on request or may be viewed online at the Society's website **theprogressive.com**. We would like to send you information about products and services which we feel may be of interest to you by post, telephone, email and SMS. This may be through a third party acting on our behalf. If you agree to being contacted in this way tick the relevant boxes:

Post ☐ Telephone ☐ Email ☐ SMS ☐

You can withdraw this consent at any time by contacting your branch.

FOR BRANCH USE ONLY

Branch/Agent

Head Office, Progressive House, 33/37 Wellington Place,
Belfast BT1 6HH Tel: (028) 9024 4926 Fax: (028) 9033 0431

Progressive Building Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Financial Services Register Number 161841. All calls may be recorded and/or monitored. The Society is a member of the Building Societies Association. The Society has an internal complaints procedure. Complaints that cannot be resolved may be referred to the Financial Ombudsman Service, Exchange Tower, London E14 9SR (tel: 0800 023 4567 or 0300 123 9123) email: complaint.info@financial-ombudsman.org.uk. The Society is a subscriber to the Financial Services Compensation Scheme. Further details are available on request from the Society or by visiting our website: theprogressive.com or the Financial Services Compensation Scheme website: fscs.org.uk.

Unless otherwise stated, the law of Northern Ireland will apply and all communications and documentation in relation to this contract will be in English. You submit to the non-exclusive jurisdiction of the Northern Irish Courts.

Branches & Agents across Northern Ireland

theprogressive.com